

PTO-1390 (Rev. 02-2005)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER U.S.C. 371		ATTORNEY DOCKET NUMBER HMP01 004 U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/536503
INTERNATIONAL APPLICATION NO. PCT/AU2003/001582	INTERNATIONAL FILING DATE: 27 November 2003 (27.11.2003)	PRIORITY DATE CLAIMED: 27 November 2002 (27.11.2002)
TITLE OF INVENTION: SYSTEM FOR CONDUCTING CLINICAL TRIALS		
APPLICANT(S) FOR DO/EO/US: Stephen MCMAHON and Bruce NEAL		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: International Search Report 		

This collection of information is required by 37 CFR 1.14 AND 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JCCS Rec'd PCT/PTO 25 MAY 2005

U.S. APPLICATION NO. 10/536503 37 C.F.R. INTERNATIONAL APPLICATION NO. PCT/AU20023/001582		ATTORNEY DOCKET NUMBER HMP01 004					
The following fees have been submitted 21. <input checked="" type="checkbox"/> Basic national fee \$300		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS ONLY</th> <th style="width: 50%;">PTO USE</th> </tr> <tr> <td>\$300</td> <td></td> </tr> </table>		CALCULATIONS ONLY	PTO USE	\$300	
CALCULATIONS ONLY	PTO USE						
\$300							
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33 (1)-(4) \$100 All other situations \$200		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$200.</td> <td></td> </tr> </table>		\$200.			
\$200.							
23. <input checked="" type="checkbox"/> Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$400.</td> <td></td> </tr> </table>		\$400.			
\$400.							
TOTAL of 21, 22, and 23 =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$900.</td> <td></td> </tr> </table>		\$900.			
\$900.							
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td></td> <td></td> </tr> </table>					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE				
19-100	0/50		x\$250				
			\$				
Surcharge of \$130.00 or furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$130.</td> <td></td> </tr> </table>		\$130.			
\$130.							
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	31- 20 =	11	x \$50				
Independent claims	2- 3 =	0	x \$200				
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			x \$360				
			\$				
TOTAL OF ABOVE CALCULATIONS=		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$1,580.</td> <td></td> </tr> </table>		\$1,580.			
\$1,580.							
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td></td> <td></td> </tr> </table>					
SUBTOTAL =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$790.</td> <td></td> </tr> </table>		\$790.			
\$790.							
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
\$							
TOTAL NATIONAL FEE =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$790.</td> <td></td> </tr> </table>		\$790.			
\$790.							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
\$							
TOTAL FEES ENCLOSED =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$790.</td> <td></td> </tr> </table>		\$790.			
\$790.							
		Amount to be refunded:	\$				
		Amount to be charged:	\$				
a. <input checked="" type="checkbox"/> A check in the amount of \$790. to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. c. <input checked="" type="checkbox"/> The Commission is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
<div style="display: flex; align-items: center; justify-content: center;"> <div> SIGNATURE D. Joseph English NAME 42,514 REGISTRATION NUMBER </div> </div>							